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# Financial Institutions Regulation

Our group regularly advises federally and provincially regulated financial institutions in Canada on a variety of regulatory and transactional matters. We also frequently assist foreign institutions and near banks with entry into Canada and the establishment of Canadian operations as well as transactions that access the Canadian financial services market without establishing a Canadian business presence. Our [Insurance - Corporate & Regulatory](#) practice advises a wide range of clients in the insurance industry.

We have years of experience helping clients navigate the Canadian regulatory landscape and providing advice on regulatory compliance. We frequently deal with regulators and members of our group have held advisory positions with financial sector policy branches of government. In addition, we are recognized by the *Canadian Legal Lexpert Directory* for our expertise with financial institution regulation.

Our Financial Institutions practice is frequently retained to advise on such regulatory and transactional matters as:

- Governmental approvals and regulatory compliance
- Capital requirements
- Fundamental changes
- Entry to Canada
- Cross-border regulatory issues for foreign banks and foreign insurers
- Governance practices and processes
- Investment transactions and related approvals
- Related party transactions
- Credit card, debit card and prepaid card programs
- Consumer credit and cost of credit disclosure
- Outsourcing and other service arrangements
- Payments system regulatory advice
- Product development issues

- Compliance with anti-money laundering requirements